

SAXO BANK CLIENT APPLICATION FORM

INSTRUCTIONS FOR COMPLETION

1	Before completing the form, please make sure you have read and understood all information regarding your Saxo Bank trading account including the General Business Terms and the Risk Disclosure Statement.
2	Please complete all information as accurately as possible.
3	Please write in block capital letters with a black or blue pen.
4	To comply with money laundering regulation, you are required to send in the following documentation (<i>A or B as applicable</i>) along with the form:

A INDIVIDUAL CLIENTS

- A copy of your valid and signed passport
- Proof of residency, e.g. a copy of your national ID card, driver's license or social security card containing your registered address **or** a bank statement or utility bill issued in your name within the previous 6 months

B CORPORATE CLIENTS

- A copy of the certificate of incorporation
- Articles of association appropriately signed
- Documentation on who can sign on behalf of the corporate entity (authorised signatories)
- Copies of passports of authorised signatories to verify signatures

5	When completed, please return this form together with the documentation mentioned above to: Saxo Bank A/S Smakkedalen 2 DK-2820 Gentofte Denmark Attn. Customer Service
6	Alternatively, fax a copy of the form together with the documentation mentioned above to + 45 39 77 42 00 . This will enable us to process your application faster. Please be aware that you still need to submit the original form within 14 days.
7	Detailed information on how to transfer funds can be found in the enclosed "Settlement Instructions" or on our website: www.saxobank.com

Do you have any questions related to the completion of the form or which documents to submit? Please contact us on **+ 45 39 77 40 01** or **customerservice@saxobank.com**

SAXO BANK CLIENT APPLICATION FORM

Saxo Bank is required by law to obtain information about its clients' financial position, investment experience and investment objectives in order to provide the services requested in the best interest of the clients.

All clients must complete Part 1, Part 2 and Part 5 of the Application Form.

Individual Clients must furthermore complete Part 3, and **Corporate Clients** must complete Part 4.

PART 1 GENERAL CLIENT DETAILS	
Full name:	
Registered address:	
City/Zip code:	
State/Province:	Country:
Mailing address (if different):	
City/Zip code:	
State/Province:	Country:
Phone (private):	Fax (private):
Phone (work):	Fax (work):
Phone (mobile):	E-mail (required):

PART 2 INVESTMENT EXPERIENCE	
A Would you consider yourself <input type="checkbox"/> A professional investor <input type="checkbox"/> An experienced investor <input type="checkbox"/> An inexperienced investor	B Would you consider yourself <input type="checkbox"/> Risk willing <input type="checkbox"/> Risk neutral <input type="checkbox"/> Risk averse
C Products in which you have previously invested <input type="checkbox"/> Futures <input type="checkbox"/> Options <input type="checkbox"/> Foreign exchange <input type="checkbox"/> Stocks <input type="checkbox"/> CFDs / stocks on margin <input type="checkbox"/> Bonds / fixed income <input type="checkbox"/> Real estate <input type="checkbox"/> Other:	
D Trading objectives <input type="checkbox"/> To attempt to achieve speculative gains <input type="checkbox"/> To hedge other investments <input type="checkbox"/> To hedge commercial risk	
E The products at Saxo Bank A/S of interest to you <input type="checkbox"/> Foreign exchange <input type="checkbox"/> Options <input type="checkbox"/> CFD's / stocks on margin <input type="checkbox"/> CFD exchange direct market access <input type="checkbox"/> Bonds / Fixed income <input type="checkbox"/> Futures <input type="checkbox"/> International stocks <input type="checkbox"/> Managed funds	

PART 3 | INDIVIDUAL DISCLOSURE

Only to be completed by Individual Clients. *(Corporate Clients please complete Part 4)*

Financial information

Preferred base currency for your account:

Annual income stated in base currency:

Net worth stated in base currency (excluding primary residence):

Approximate risk capital stated in base currency:

Please choose which type of account you require.

(For additional information on the difference between the 2 accounts please visit our website)

Saxo MiniTrader account
Minimum deposit \$2.000*

SaxoTrader account
Minimum deposit \$10.000*

*or currency equivalent

PART 4 | CORPORATE DISCLOSURE

Only to be completed by Corporate Clients. *(Individual Clients please complete Part 3)*

Directors and Officers

Number of board members:

Chairman/president:

Managing director/vice president:

Secretary/treasurer:

Additional director(s):

Financial Information

Preferred base currency for your company account:

Equity capital stated in base currency:

Approximate risk capital stated in base currency:

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Please contact us on + 45 39 77 40 01 or customerservice@saxobank.com

PART 5 | SIGNATURE

I/We declare by my/our signature(s):

- To have read, understood and agreed to the General Business Terms (including the Risk Disclosure Statement) and all of its contents;
- To understand and accept that the General Business Terms and any other relevant terms and conditions (as amended from time to time) apply to my/our entire trading relationship with Saxo Bank;
- To have received, read and understood the product information material relating to the relevant products;
- To have received additional in-depth verbal information about the products and the inherent possibilities and risks if so requested;
- To have received satisfactory answers to all my/our questions regarding the terms, conditions and other issues relating to the relevant products.

Furthermore I/we confirm:

- That the information provided by me/us and inserted in this form is correct;
- That the investment amount has been chosen by me/us taking my/our total financial circumstances into consideration and is by me/us considered reasonable under such circumstances;
- That I/we acknowledge that Saxo Bank is only able to provide advice to such effect, provided that I/we have supplied Saxo Bank with correct and adequate information in this Client Application Form or as otherwise requested by Saxo Bank;
- That Saxo Bank is entitled to send newsletters or other investment material to me/us by e-mail, sms or similar electronic messaging services, and that I/we must inform Saxo Bank in writing or otherwise, if I/we do not wish to receive such material.

Date:

Signature:

SERIOUS TRADING. WORLDWIDE.

SAXO BANK A/S | Smakkedalen 2 | DK-2820 Gentofte | Denmark | Telephone +45 39 77 40 00
 Telefax +45 39 77 42 00 | Reuters Dealing Code: SAXO | Web: www.saxobank.com | Email: info@saxobank.com

